

Evaluation framework integrating results of thematic S3 approach

Dr Fatime Barbara Hegyi, Joint Research Center
Dr Ruslan Rakhmatullin, Joint Research Center





European
Commission

Thematic S3 partnerships



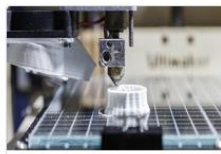
Advanced
manufacturing



Non-food Biomass



Efficient and
Sustainable
Manufacturing



3D-Printing



New Nano-Enabled
Products



Textile Innovation



Medical technology



Photonics



Cybersecurity



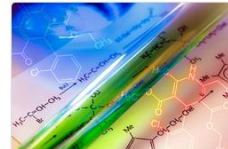
Social Economy



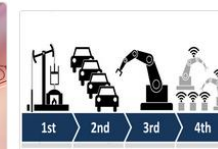
Artificial Intelligence &
Human Machine
Interface



Personalised medicine



Chemicals



SMEs to the Industry
4.0



Sport



Digitalisation and
Safety for Tourism



High Tech Farming



Traceability & Big Data



Consumer Involvement
in Agri-Food



Nutritional Ingredients



Smart Sensors 4
Agri-Food



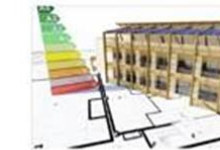
Bioenergy



Marine Renewable
Energy



Smart Grids



Sustainable Buildings

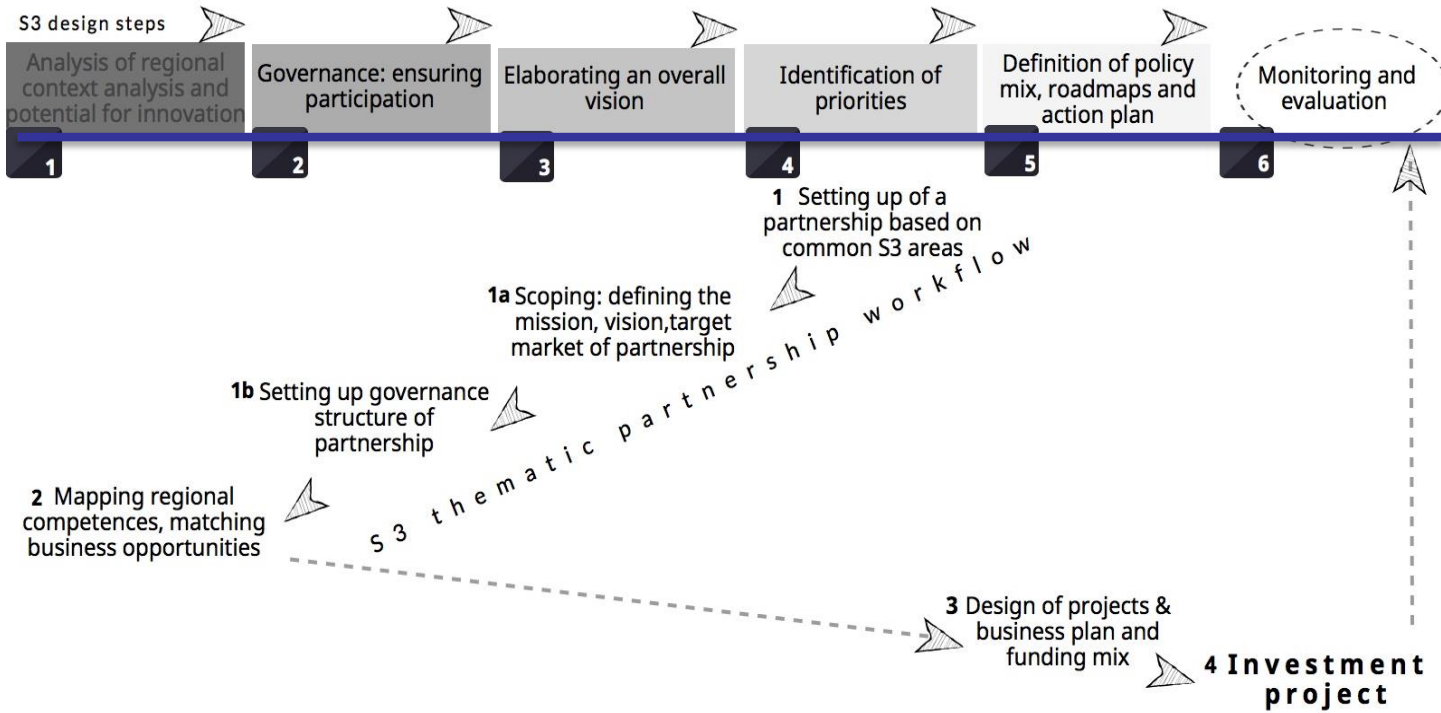


Solar Energy



European Commission

Integration of methodologies of S3 design and thematic S3 approach



Source: Mariussen Åge, F.B. Hegyi, R. Rakhmatullin (Forthcoming in 2018): Smart specialisation and interregional learning via thematic partnerships. In Mariussen, Åge, S. Virkkala, H. Finne and T.M. Aasen (eds) (Forthcoming in 2018): Entrepreneurial Discovery Processes and Regional Development: new knowledge emergence, conversion and exploitation. Series Regions and Cities by Routledge.

Evaluation instrument to assess progress

Smart Specialisation Platform for

Click here, to choose from the drop-down menu: ▾

Thematic Partnership

Title of the Partnership

Start Date (start date of Your Partnership)

MONITORING
PROGRESS REPORT

Reporting Period: from (start date of the Partnership) to June 2018

This Report is presented to the relevant Working/Steering Committee.
It contains three parts:

- I. **Management Report** prepared by the Lead Region(s)
- II. **Progress Report** prepared by the Lead Region(s)
- III. **Previous versions of the Progress Report**, i.e., part II of past reporting periods

The report is a "cumulative" report, i.e. it is updated annually and covers the entire period of the Partnership.

Confidentiality: the documents will be made available to the public via the Partnership's web page except for chapter II.E. Self-evaluation.

Based on the monitoring results, the European Commission will decide on the following year's support.

II.E. Self-assessment

This part (II.E) is **confidential** and will only be shared with the European Commission services.

Based on the monitoring results, the European Commission will decide on the following year's support.

Status of the Partnership

| | Currently Planned | Work in progress | Challenges experienced | Phase Completed | Completed & regularly monitored | Not applicable |
|---|--------------------------|--------------------------|--------------------------|--------------------------|---------------------------------|--------------------------|
| The Scoping Note has been developed and completed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Governance structure is agreed and put in place. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Working Areas defined and agreed with partners. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Mapping of Competencies: this phase has now been carried out and completed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Regional capabilities and gap analysis carried out. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Analysis of connectivity within regional (and interregional) eco-system analysed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Global Value Chain analysis carried out. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Engaging with the industry and its stakeholders on a continuous basis. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Anticipating the likely evolution of the industry globally. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Assessing the challenges and opportunities that are likely to emerge from future industry trajectories. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Responding to these challenges and opportunities in a proactive manner. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Industry Cooperation (Matching of Business Opportunities) is completed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Matchmaking events were carried out. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| RTOs and academia are engaged. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| SMEs & cluster organisations are involved. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Design of Projects is completed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Project/pilot definition completed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Demo cases defined and assessed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Legal and IPR support in place. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Business Plan: this phase is completed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Funding Mix: this phase is completed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Investment Project(s): this phase has now been finalised. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Monitoring and Evaluation framework is in place. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Partnership governance structure is regularly reviewed. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Partner regions work regularly to align their policy and technical instruments. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Results of this partnership's activities are used to feedback to regional S3 priorities. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

II.D. Your Partnership and the UN 2030 Sustainable Development Goals (SDGs)

The EU has a strong position when it comes to sustainable development and is also fully committed to be a frontrunner in implementing the UN's 2030 Agenda¹ together with its member countries and regions. Many interregional partnerships under the thematic S3 Platforms contribute strongly to the attainment of these 17 goals.

Please indicate to which Sustainable Development Goals and to what extent your thematic Partnership contributes?

Your Partnership and Sustainable Development Goals

Goals to which your partnership (can) contribute:

Goal 1. End poverty in all its forms everywhere

Goal 2. End hunger, achieve food security and improved nutrition & promote sustainable agriculture

Goal 3. Ensure healthy lives & promote well-being for all at all ages

Goal 4. Ensure inclusive and equitable quality education and promote lifelong learning opportunities for all

Goal 5. Achieve gender equality & empower all women and girls

Goal 6. Ensure availability and sustainable management of water and sanitation for all

Goal 7. Ensure access to affordable, reliable, sustainable and modern energy for all

Goal 8. Promote sustained, inclusive and sustainable economic growth, full and productive employment & decent work for all

Goal 9. Build resilient infrastructure, promote inclusive and sustainable industrialisation & foster innovation

Goal 10. Reduce inequality within and among countries

Goal 11. Make cities & human settlements inclusive, safe, resilient and sustainable

Goal 12. Ensure sustainable consumption & production patterns

Goal 13. Take urgent action to combat climate change and its impacts

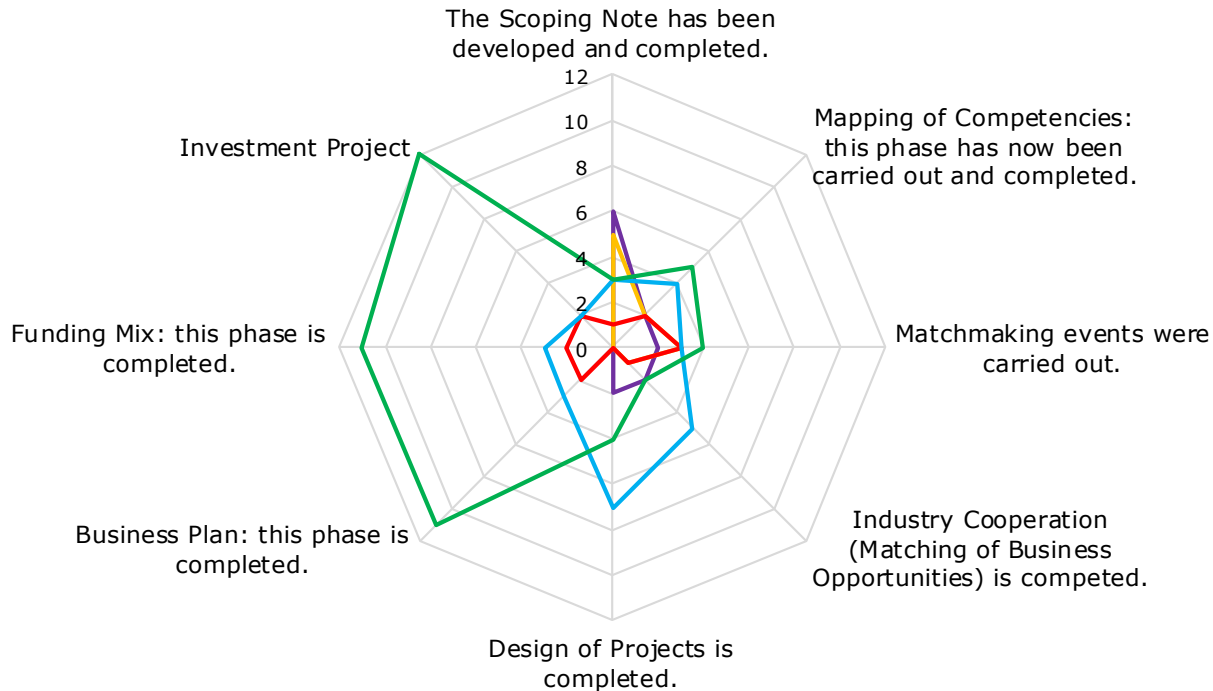
Goal 14. Conserve and sustainably use the oceans, seas & marine resources for sustainable development

Goal 15. Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, halt and reverse land degradation and halt biodiversity loss

Goal 16. Promote peaceful & inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels

Goal 17. Strengthen the means of implementation and revitalise the Global Partnership for Sustainable Development

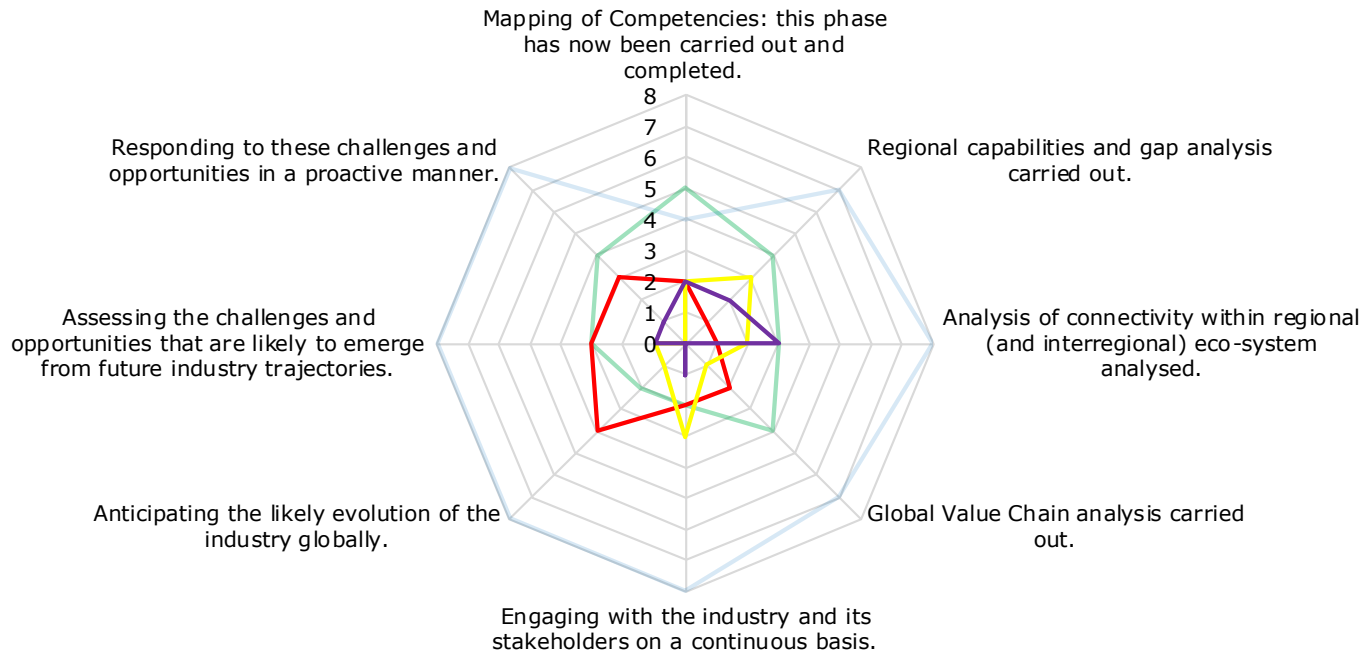
Assessing progress according to workflow phases



— Phase completed and regularly monitored
 — Phase completed
 — Challenges experienced
 — Work in progress
 — Currently planned

| | Scoping completed | Mapping of Competencies completed | Matchmaking events carried out | Industry Cooperation is completed | Design of Projects is completed | Business Plan is completed. | Funding Mix completed. | Investment Project |
|---|-------------------|-----------------------------------|--------------------------------|-----------------------------------|---------------------------------|-----------------------------|------------------------|--------------------|
| Phase completed and regularly monitored | 6 | 2 | 2 | 2 | 2 | 0 | 0 | 0 |
| Phase completed | 5 | 2 | 3 | 1 | 0 | 0 | 0 | 0 |
| Challenges experienced | 1 | 2 | 3 | 1 | 0 | 2 | 2 | 2 |
| Work in progress | 3 | 4 | 3 | 5 | 7 | 3 | 3 | 2 |
| Currently planned | 3 | 5 | 4 | 2 | 4 | 11 | 11 | 12 |

Assessment of sub-phases of the workflow



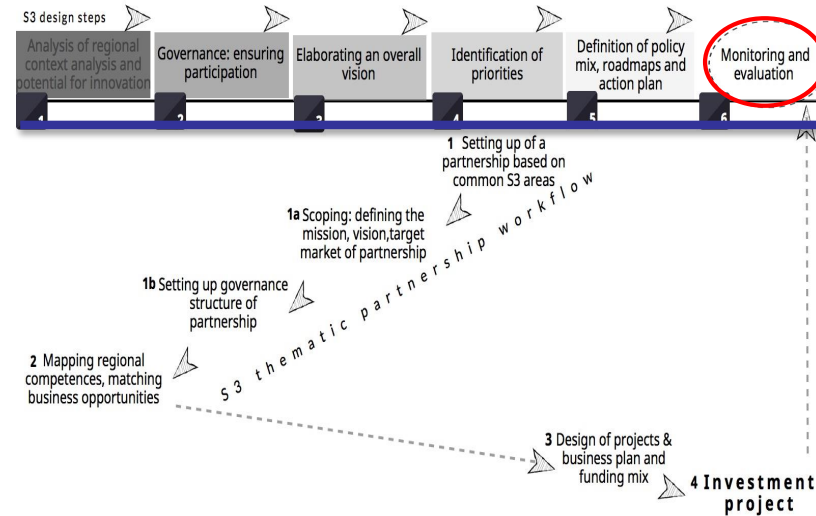
— Currently planned — Work in progress — Challenges experienced — Phase completed — Phase completed and regularly monitored

| | Mapping of Competencies completed | Regional capabilities and gap analysis carried out. | A nalysis of connectivity analysed | Global Value Chain analysis carried out | Engaging with the industry and its stakeholders on a continuous basis | Anticipating the likely evolution of the industry globally | Assessing the challenges, opportunities from future industry trajectories | Responding to challenges and opportunities in a proactive manner |
|---|-----------------------------------|---|------------------------------------|---|---|--|---|--|
| Currently planned | 5 | 4 | 3 | 4 | 2 | 2 | 3 | 4 |
| Work in progress | 4 | 7 | 8 | 7 | 8 | 8 | 8 | 8 |
| Challenges experienced | 2 | 1 | 1 | 2 | 2 | 4 | 3 | 3 |
| Phase completed | 2 | 3 | 2 | 1 | 3 | 1 | 1 | 0 |
| Phase completed and regularly monitored | 2 | 2 | 3 | 0 | 1 | 0 | 1 | 1 |

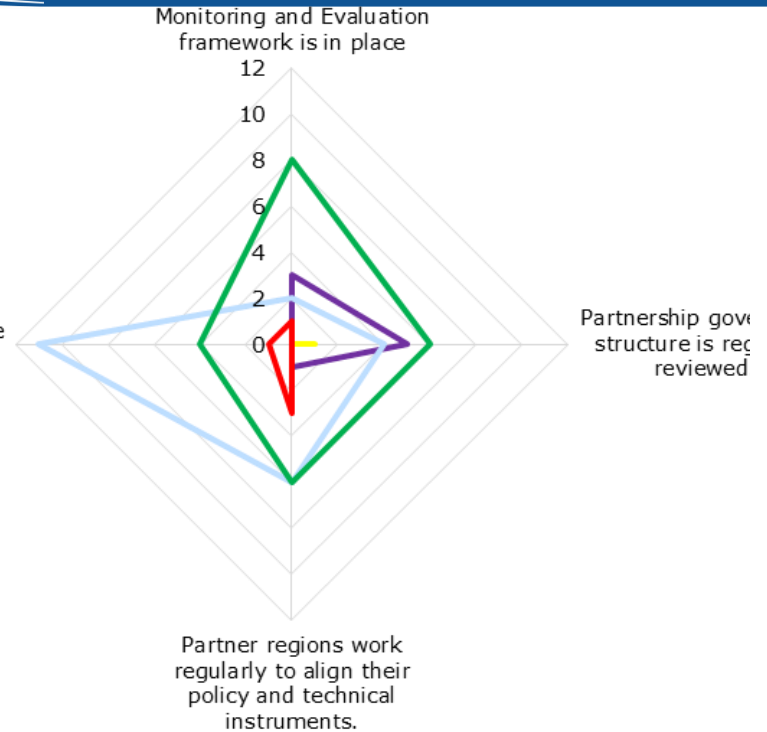


European Commission

Assessing feedback mechanisms to implementation of S3



Results of this partnership's activities are used to feedback to regional S3 priorities.



- Phase completed and regularly monitored
- Phase completed
- Challenges experienced
- Currently planned
- Work in progress

| | Monitoring and Evaluation framework is in place | Partnership governance structure is regularly reviewed. | Partner regions work regularly to align their policy activities and technical instruments. | Results of this partnership's activities are used to feedback to regional S3 priorities. |
|---|---|---|--|--|
| Phase completed and regularly monitored | 3 | 5 | 1 | 0 |
| Phase completed | 0 | 1 | 0 | 0 |
| Challenges experienced | 1 | 0 | 3 | 1 |
| Work in progress | 2 | 4 | 6 | 11 |
| Currently planned | 8 | 6 | 6 | 4 |

Stay in touch

Thematic S3 platforms page: <http://s3platform.jrc.ec.europa.eu/s3-thematic-platforms>

Emails of authors:

fatime-barbara.hegyi@ec.europa.eu
ruslan.rakhmatullin@ec.europa.eu

Publications:

