Is a collaborative strategy among municipalities in Japan truly strategic? a case study of Collaborative Core City-Region (CCCR)

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1.Introduction

Background

- Japan's Local government system is two-layer system, which is formed by prefecture and municipality (city, town and village).
- Recent declining of population has deprived various power (economic, social, cultural etc.) of municipalities which have less size, therefore, collaboration between core-city and small sized neighborhood municipalities becomes more important.
- Last decade, several systems of collaboration among municipalities in Japan have been established, one of which is Collaborative Core City-Region (CCCR). In CCCR, large corecity and neighborhood municipalities achieve economic development, and higher level of city function and quality of life through compactifying a core-city and connecting between it and neighborhoods by traffic network.

Aim of study

- Evaluating CCCR by applying the framework of strategic planning evaluation
 - which has been studied mainly in Europe
 - Bingo region as a case study
- Especially, I evaluate how strategic the vision is, focusing on the following points
 - performance of the strategy based on DPM(Dutch Performance Model)
 - 2. relational outcome based on collaborative planning model
 - 3. governance innovation

Result of evaluation

1. Performance

good in terms of strategy orientation and implementation consistency

2. Relational outcome

; i.e. collaboration among stakeholders and knowledge diffusion

- Municipalities sufficiently collaborate and realize knowledge sharing
- Collaboration with stakeholders except for municipalities and knowledge diffusion among them is not enough

3. Governance innovation

 Implementation of the system of "flexible collaboration" can realize development of governance in the region.

2.Framework of evaluating strategic plan

Two point of view of evaluation of strategic plan

1. Performance based

- based on Dutch Performance Model:
 DPM(e.g. Faludi(2000))
- 2. Focusing on collaboration among stakeholders in the process
 - Albrechts, Baldicci

2 types of plan; "project plan" or "strategic plan"

- Project plan : Blue print in order to implement particular projects
 - ➤ Interactive discussion among stakeholders finish when the plan is produced.
 - Stakeholders are not involved in decision-making about implementing projects based on the plan.
- Strategic plan
 - Used in order to make decisions
 - Interactive discussion of stakeholders is continuing after the plan is completed

	project plan	strategic plan
Object	Material	Decisions
Interaction	Until adoption	Continuous
Future	Closed	Open
Time-element	Limited to phasing	Central to problem
Form	Blueprint	Minutes of last meeting
Effect	Determinate	Frame of reference

Source:Faludi(2000)

Evaluation of Strategic Plan (1)Performance

- The objective of Strategic Plan
 - ✓ Not outcome of particular project
 - ✓ Affect the group of decision-makers.
 - Because strategic plan is not a plan related to some projects
- In other words, if a group made its decision based on a strategic plan, this plan is evaluated as "performing".
- A plan is fulfilling its purpose, and in this sense "performing", if and only if it plays a tangible role in the choice of the actors to whom it is addressed.

Evaluation of Strategic Plan (2)Collaboration

- Another point of view is "Relational Outcome" and "Knowledge Diffusion" which is produced by collaboration among stakeholder involved in the strategic plan.
- A framework composed by 4 dimensions (see Albrechts and Balducci(2013))
 - 1. Content of strategic planning
 - 2. Form of the planning process
 - 3. Relational outcomes
 - 4. Institutional implication; especially governance structure

Framework of evaluation

Point of evaluation	Item of evaluation	Content of evaluation
Performa nce as learning	Strategy Orientation	Coherence between the strategic vision and subsequent policies and programmes
process	Implementation Consistency	Consistency between the vision and concrete actions for implementation
Collabor ation	Relational Outcome	Cooperative capacity (co-production) developed among participating municipalities and other stakeholders
	Knowledge Diffusion	Dissemination of knowledge and development perspectives among stakeholders
	Governance Innovation	Impact of strategic planning on social norms and standards and informal ways of thinking and behaving

Source: author based on Grassini et.al.(2018)

3. Outline of CCCR

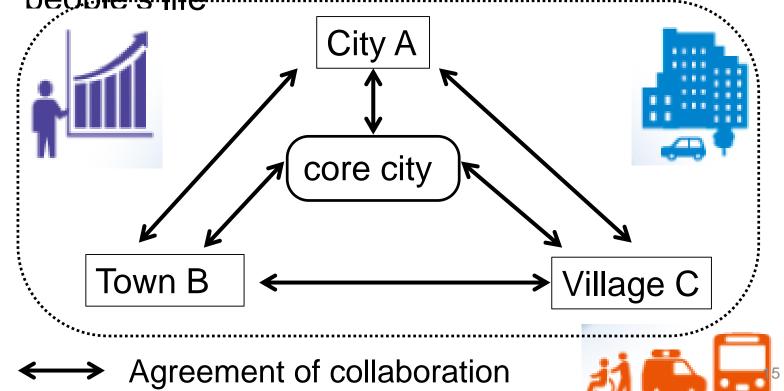
What is CCCR?

- CCCR: System legally implemented by the national government
 - Core city
 - ✓ relatively larger in population and more central than neighborhood municipalities
 - ... & Neighborhoods collaborate in order to make down size and networking.
- start: the year of 2014
- Why CCCR was implemented?
 - Each region need to maintain a certain number of population and a certain level of socio-economic power in decline of population and ageing population combined with the diminishing number of children

CCCR

- Objective of collaboration
 - 1. Leading an economic growth in the city region
 - Agglomerating and upgrading the function of city

3. Development of **Daily Services** associated about people's life



Core-city

- Condition of Core-city
 - Government-designated city or central city
 - Day population / domitory population is more than 1 (about)
- CCCR is an area composed by the "core-city" and the neighborhood municipalities which have strong ties socially and economically with core-city

Procedure of forming CCCR

- Necessary Process
 - Core-city make "Declaration of Collaborative Core City"
 - 2. Agreement of collaboration to neighborhood municipalities
 - Core-city and neighborhoods making up Vision of CCCR

Declaration of Collaborative Core City



Agreement of collaboration



Vision of CCCR

Procedure of forming CCCR (1)Declaration of Collaborative Core City

- 1. Core-city write and state
- 2. Aim
 - Clarifying the intention that core-city will play the following role based on the collaboration with neighborhood municipalities
 - I. Drawing the vison of that area
 - II. Leading the economy of that area
 - III. Supporting the people living in that area

Procedure of forming CCCR (2) Agreement of collaboration

- In order to lead the economy and support the people living in the whole city-region, Core-city and each neighborhood sign
- Decision of Parliament of both core-city and neighborhood municipalities is needed.
- Contents
 - 1. Object of collaboration
 - 2. Key principles
 - Making compact about the function of administration and private sector
 - Networking of transporting system in the city-region etc.
 - 3. Collaborative projects in order to realize
 - i. Leading an economic growth in the city region
 - ii. Agglomerating and upgrading the function of city
 - iii. Developing daily services associated about people's life

Procedure of forming CCCR (3) Vision of CCCR

- Core-city produce within the whole area of CCCR through discussing with stakeholders associated with CCCR including private sector and region.
- Contents
 - 1. Medium and long term future image in CCCR
 - ✓ Based on population projection in the CCCR; only used the one that National Institute of Population and Social Security Research published on March 2013
 - ✓ Writing the situation of function of city implemented by both public and private sector.
 - ✓ Goal of population and population aging rate

Procedure of forming CCCR (3) Vision of CCCR

- Contents
 - 2. Project based on agreement of collaboration to realizing the above future image
 - ✓ Contents, schedule, total and yearly budget
 - 3. Schedule of project
 - ✓ Around 5 years (and must revise in each year according to the socio-economic change)
 - 4. KPI(Key Performance Indicator)
 - Setting up clear indicators about economic growth, agglomeration and upgrading the function of city and development of daily services associated about people's life
 - ✓ Mile stones of checking the extent of achieving the future image

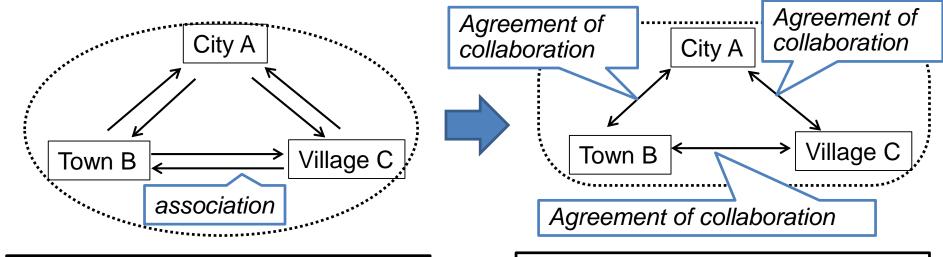
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Promotion of CCCR

- Steering committee
 - 1. Built when making CCCR vision
 - ✓ Arena discussed revising the vision, if necessary
 - 2. Member of committee
 - ✓ To reflect many and broad opinions, required to gather as broad stakeholders as possible
 - I. Business sector, university, research institution, local bank, medical agency, social-service agency, education agency, transportation agency etc.
 - II. Person working about civic community, NPO
 - III.Retail store, hospital which will develop a function of city
 - 3. Checking the extent of achieving the future image based on the KPIs

Characteristics of CCCR in terms of legal system about collaboration among municipalities

- Agreement of collaboration to neighborhood municipalities
 - Newly added to existing procedures which municipalities address the same public service cooperatively
 - In 2014 amendment of the Local Autonomy Act



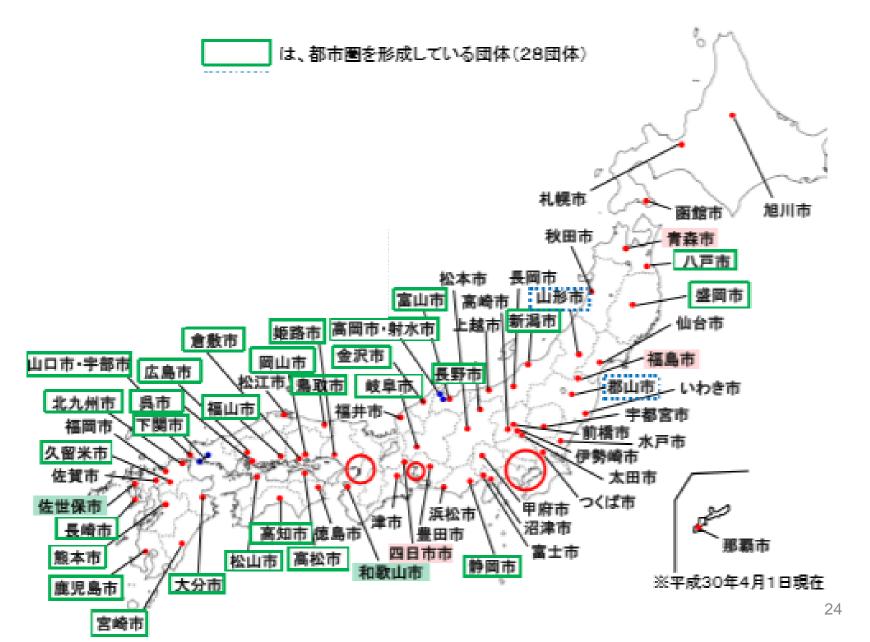
Exiting system(association, cooperative establishment, commissioned work etc.)

 If more than 3 municipalities cooperatively address the same service, all of them must "Agreement of collaboration"

- All municipalities need not to agree, one on one accord
- Only related municipalities
 can agree regardless of the
 situation of other municipalities

agree.

The number of CCCR: 28



4. Case study: Bingo CCCR

History of forming Bingo CCCR

- Composed by 8 municipalities (6 cities and 2 towns)
 - Fukuyama city, Mihara city, Onomichi city, Fuchu city, Sera town and Kamiishi-kohgen town(Hiroshima prefecture)
 - Kasaoka city and Ihara city(Okayama prefecture)
- 8 municipalities have strong ties historically
- People living there come and go across 2 prefectures (Hiroshima and Okayama) in the dairy life: unique



Population	about 870,000
Area	2510.48km²

Background of forming the CCCR

- The city-region was designed by "Special Area about Industrial Promotion"
- 1964
 - 6 cities (Fukuyama city, Mihara city, Onomichi city, Fuchu city, Kasaoka city and Ihara city) was decided as "Special Area about Industrial Promotion"
- Since then, the city-region has been addressed the development of the region together across 2 prefectures(Hiroshima and Okayama).
- Kamiishi –kohgen town has cooperated with Fukuyama city and Fuchu city
- Sera town has cooperated with Mihara city and Onomichi city
 - ✓ Within the framework of "Wide-area municipal zone" (which was the past legal system)

History of forming Bingo CCCR

- "Special Area about Industrial Promotion" was abolished (2001) and "Widearea municipal zone" also was abolished (2009).
- However, municipalities continuously need to make the whole region more powerful and attractive for integral development of the city-region after diminish of the above two system.
- By leadership of the mayor of Fukuyama city(the biggest city within Bingo city-region), mayors of 8 municipalities built "association of collaboration in Bingo city-region" on December 2011.
 - The arena of discussing how to activate the city-region and solve the problems all municipalities have with looking ahead to the future of population decline.
 - agreement of cooperation when disaster happens anywhere in the city-region(July 2012)
 - joint management of center supporting development of children(November 2012)
 - These services are written in the Agreement of collaboration and succeeded by CCCR

History of forming Bingo CCCR

- While Bingo city-region was dealing with the problem across the city-region, the 30th study group about the local governance system initiated by national government decided the document about a structure of municipalities when serving civil services and Ministry of Internal Affairs and Communications started "project of forming a new model of collaboration with municipalities" in the FY 2014
- This attitude of national government is consistent with that of Bingo city-region. So, Fukuyama city coordinated the other 7 municipalities and applied to the above project.
- Since then, after 8 municipalities discussed, on February 2015,
 Fukuyama city announced "Declaration of Collaborative Core City"
 and March 2015, 8 municipalities executed the Agreement and
 published the Vision of Bingo city-region, by which Bingo city-region
 was formed.

Vision of Bingo CCCR

- Published on March 2015
- Future image
 - The region where residents realize abundant quality of life and hope to live forever
- Target of population:820,000(year of 2025)
- 7 policies based on 3 categories
 - Policy and subsequent program and projects are written(described later).
- KPI :Decided at level of project

3 Categories and 7 Policies

category	policy
Industry	 Industrial promotion by utilizing an advanced manufacturing industry
	 Creation of attractiveness of the primary sector of industry
	 Promotion of wide range tourism within CCCR
City Function	 Upgrading city function
	 Nurturing those who will support city- region in the future
Civil Service	 Realizing environment, health and culture-oriented life
	 Regional development collaboratively with
	people living in CCCR 32

Structure of promotion

Consultative organizations are two

1. Steering committee

- Member: Stakeholders including business sector, university, local government, local bank and media
- Holding the meeting periodically
- Revising the vision, monitoring the impact of each project, checking adequacy actions of next year

2. Association of collaboration in Bingo city-region

- Member: mayors of 8 municipalities
- Arena of communication and discussion of mayors
- Preparatory meeting composed by directors of department in charge of 8 municipalities set under the association coordinates before holding of mayors' meeting

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Evaluating Strategic Planning:Bingo CCCR

1. Performance of Strategic Plan

- Measurement of performance of strategic planning
 - 1. Strategy orientation
 - Coherence between the strategic vision and subsequent policies and programmes
 - 2. Implementation consistency
 - Consistency Between the vision and concrete actions for implementation
- In vision, policy and subsequent programs and projects are written.
- Apart from vision, actions broken down from projects are decided by steering committee
- Budget in each year, outline of role allotment and budget between core-city and other municipalities, KPI are decided at level of project
- Every FY, steering committee checks whether each program is managed on a basis of the above contents, and advise at level of action.
- Strategic planning of CCCR succeed sufficiently in terms of performance of planning because steering committee consistently manages from Strategic plan(=vision) to program and action.

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		Not written in vision			
policy	program	Project	KPI		Action(typical example)
Industrial promotion by utilizing an advanced manufacturing		Strengthening function of business support	gross value added; More than 10,500yen(2019FY)	•	Business Support Center in Fukuyama city(Fuku-Biz) Promoting starting business
industry	Oupport or		gross value added; More than 10,500yen(2019FY)	•	Sending supporting adviser in Bingo city-region
		business	labor participation rate of women of age between 30 and 34; 69.5%(2019FY)	•	Delivery of information and seminars for young women in Bingo city-region
			gross value added; More than 10.500ven(2019FY)		"Denim Project"
		employment measures		•	Supporting those willing to work in Bingo city-region Holding a meeting that make students out of Bingo city-region meet Bingo firm

	Written	Not written in vision		
policy	program	Project	KPI	Action(typical example)
Creation of attractiveness of the primary sector of industry	Revitalizing the primary sector of industry	agricultural	Working population of the primary sector of industry;More than 15,200(2019)	Marketing of wine made in Bingo city-region; "Bingo wine project"
		system for promoting the	Working population of the primary sector of industry; More than 15,200(2019)	 Branding fishery products Nurturing those who newly work in the primary sector of industry
Promotion of wide range tourism within CCCR	Strategic promotion of tourism	ľ	Total tourist in 8 municipalities; 20,920 thousand (2019)	 Promoting round trip within Bingo city-region Setting up cycling route within Bingo city-region
Upgrading city function	Advancing high- level medical service		employment rate of nurse within city-region; 68.8%	 Securing adequate volume of nurse Setting up high-level medical equipment
	improving urban infrastructure across city-region	1 .	occupancy rate in urban area; 69.85%	Making restructuring plan of local public transportation
nurturing those who will support city-region in the future	Advancing higher education	for nurturing next	employment rate of new graduates within city-region; 40.0%	Promoting collaboration among universities within city-region 39

	Writ	Not written in vision		
policy	program	Project	KPI	Action(typical example)
Realizing environment, health and culture-oriented life	Advancing medical and welfare service	Advancing local medical service		 Operating night-time clinic for adults Collaboration among hospitals within cityregion
		Advancing welfare of elderly and handicapped person	Rate of elders who need care; 22.8%	Building cooperative "community-based integrated care systems "
		Advancing child care service	total fertility rate; 1.70	 Cooperative operation of Development Support Center Building care system before and after childbirth
		Health promotion	Agent diffusing health promotion and one teaching improvement of one's dietary life; 3,420	Nurturing volunteer stuffs and promoting their wide range business cooperatively 40

	Wr	Not written in vision		
policy	program	Project	KPI	Action(typical example)
development service b collaboratively	Upgrading civil service by collaboration	Disaster prevention	Persons certified as Disaster prevention officer; 360	Nurturing persons playing a key role in local disaster prevention
with people living in CCCR	among municipalities	Creation of a recycling society	The number of members of "Best Movement";21,300	"Best Movement"(Action for moving without riding car
	Promotion of Regional Revitalization	Upgrading civil service	DI of "collaboration among civil services within city-region"; more than ±0% points	 Cooperative procurement and use of IT system holding seminar of officer associated with consultation of DV
		Regional Revitalization by agricultural, forestry and fishery products		Networking Roadside StationPR of Bingo city-regionFish-Festibal
le		Preparing new local public transportation and "On-demand traffic"		Revitalization by two local lines (Fuku-Shio line and lhaara line)
	Increasing long-term residents	Attrcting long-term residents to Bingo city-region		 Increasing long-term residents to Bingo city-region public relations about Bingo city-region

2. Collaboration among stakeholders and knowledge diffusion

a) collaboration among participating municipalities and knowledge diffusion

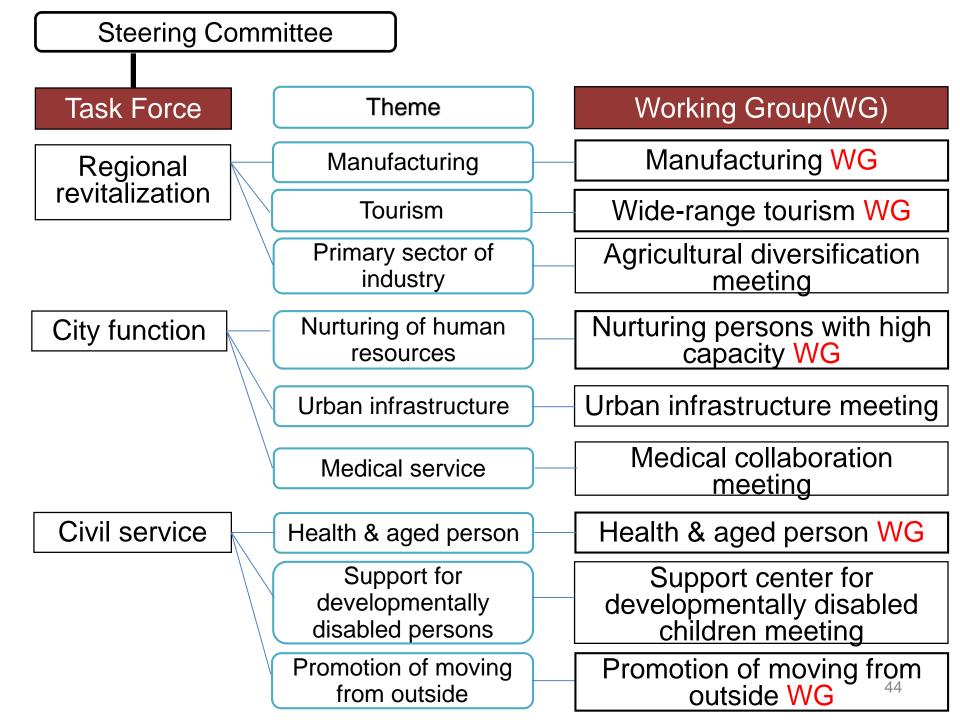
- Municipalities always discuss interactively with each other in the following place.
 - 1. Association of collaboration in Bingo city-region
 - ✓ Built before formation of CCCR and have played a significant role as arena
 where 8 municipalities agree.
 - ✓ Mayors of 8 municipalities discuss and develop the common attitude and understanding about promoting wide-range cooperation in this association

2. Task Force

- √ 3 task forces were set in response to policy and program of the vision under the steering committee since November 2014.
- ✓ Fields: (1)Industry, (2)city function and (3)civil service
- ✓ Member of task force
 - Practitioners from Business sector, local government, local bank, NPO and researchers in university
 - Discussing Revising the vision of CCCR and new projects by cooperation among municipalities

3. Working Group

- ✓ Officers belonging to related section of 8 municipalities participate and discuss interactively in working group in the task force .
- Through discussion at the above arenas, 8 municipalities sufficiently collaborate and realize knowledge sharing each other.



Collaboration among stakeholders and knowledge diffusion b) collaboration among other stakeholders participating in CCCR and knowledge diffusion

- Knowledge diffusion to stakeholders except for municipalities is insufficient.
- Some task forces contain various stakeholders.
- For example, 3 working groups (2 are in Industry Promotion task force and 1 is in City Function task force)
 - contains business sector, university, bank, tourism promotion agency, travel firm and institute supporting industry
 - cooperatively discuss and propose a new project of next fiscal year
- Particular stakeholders participating in these working groups

 (1)understand concept that the vision is a strategic plan and
 (2)propose new projects or action based on the vision(=strategic plan), which can be seen as knowledge diffusion.
- Other 2 working groups and 4 meetings contain only departments in charge and don't lead to collaboration with stakeholders except for municipalities, to whom knowledge diffusion is not enough.

Task	Working	Member	Proposal new projects
Force	Group		
regional	manufact	• Chair	 Nurturing human resources by
revitaliz	uring	Fukuyama	manufacturing college
ation		university	➤Offering a lecture that one
		 business sector 	can study the utilizing
		> chamber of	advanced technology
		commerce etc.	➤ Strengthening technical
		• institute supporting	person with cooperating with
		SMEs	institute supporting industry
		Hiroshima	 Collaboration between local
		Industrial	bank and Fuku-Biz
		Promotion	➤ Holding seminar for
		Organization etc.	increasing user
		 Financial agency 	 Promoting communication
		Hiroshima Bank	between firms within city-
		etc.	region and college students
		• Department in	outside city-region
		charge of	➤ Holding workshop where
		municipaities	students and firms study
			together 46

Task	Working	Member	Proposal new projects
Force	Group		
region al revitali zation	wide- range tourism	 Chair Fukuyama Convention & Visitors Association Onomichi Tourist Association Kasaoka Tourism Federation Private enterprise(Japan Tourist Beurau Shikoku- Fukuyama branch etc.) Department in charge of municipaities 	 Diffusing regional attractiveness by SNS to increase visibility Strengthening information transmission capacity for foreign tourism by multi-linguistic guide book

Task Force	Working Group	Member	Proposal new projects
city	nurturing	• Chair	 Collaboration of
function	high level	Fukuyama City	universities to support
	human	University	SMEs in respect of
	resource	 University 	professional technique
		Fukuyama	Offering information and
		University	nurturing human
		 Highschool 	resources by collaborating
		Hiroshima	of 6 universities within
		prefecture	city-region
		KannabeAsahi	 Nurturing human resources
		HighSchool	by collaboration between
		Kasaoka	high school and colleges
		technical	within city-region
		HighSchool	➤ Holding lecture that high
		 Support Center for 	
		Bingo regional	
		enterprise	➤ Increasing chances in
		Kitagawa	which college teacher
		Corporation	lecture in high school 48

3)innovation of governance

- Implementation of "Agreement of collaboration" to neighborhood municipalities is important
 - ✓ because municipalities can do "One on One collaboration"
 by it, which means "Flexible Collaboration".
- But, in Bingo CCCR, 8 municipalities participate in all projects, thereby flexibility of the agreement can not be proofed.
- Here, as an empirical example that participating municipalities change according to different projects; innovation of governance in <u>Nagano CCCR</u>
- Though 41 projects are implemented in Nagano CCCR, ones that all 9 municipalities participate are only 8
 - ✓ In other words, each municipality can flexibly participate in the projects depending on their own needs.
- Formerly, there was not this form of governance, which can be seen as **Development of Governance** in the region in Japan.

Nagano CCCR

Number of projects		Participating municipalities (excluding Nagano city(Core city))							
		city			town			ville	dge
		Susa	Chik	Sak	Obu	Shin	lidu	Takay	Oga
		ka	uma	aki	se	ano	na	ama	wa
Lead an economic	14	14	13	14	3	11	14	11	6
growth in the city									
region									
Agglomerating and	5	1	3	2	0	1	1	1	0
upgrading the									
function of city									
Development of daily	29	21	14	19	8	24	26	19	15
services associated									
about people's life									
total	41	36	30	35	11	36	41	31	21

Projects that all municipalities participate(Nagano CCCR)

Category	Project name
Lead an economic	Promoting economic growth within Nagano city-
growth in the city	region
region	Collaboration in order to attract firms outside city-
	region
	Supporting people willing to work in the city-region
	e.g. Utilizing website of information of jobs in the
	city-region "Oshigoto-Nagano"
Agglomerating and	-
upgrading the function	
of city	
-	System of operating hospitals by rotation
services associated	Extending child care across the city-region
about people's life	Mutual cooperation for disaster prevention
	Promoting interaction among technical and
	professional staff (e.g. nurse and health nurse)
	Promoting information exchange among children's
	nurse

Conclusion

 This study focuses on new system of collaboration among municipalities(i.e. CCCR), and apply a framework of evaluating strategic planning to Bingo CCCR

1. Performance

- good in terms of strategy orientation and implementation consistency
- Because program, project and action are implemented consistently based on the "vision" (i.e. strategic plan), and steering committee is totally responsible for assessment of strategic plan.
- 2. collaboration among stakeholders and knowledge diffusion
 - municipalities sufficiently collaborate and realize knowledge sharing through discussion at steering committee, task force and working group,
 - While collaboration with stakeholders except for municipalities and knowledge diffusion among them is **not enough**

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