

### Conceptualization of the Multi-level Governance Structures and Policies. The Example of Polish Regions

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#### THE PLAN OF THE PRESENTATION

1. The roots, evolution, and challenges ahead of the MLG concept

2. Regional & Metropolitan Governance in Poland

3. The processes of formation and implementation of the EU Integrated Territorial Investment mechanism in Poland vs. the MLG framework

#### A PRACTICAL RELEVANCE OF THE MLG CONCEPT

1. A framework for the open-minded and inclusive activities and regulations provided by public authorities.

2. A set of guidelines for promoting different actors' involvement in the public policies.



#### THE ROOTS OF THE MLG CONCEPT

" (...) In short, the European Community seems to be a part of a new political (dis)order that is multi-layered, constitutionally open-ended, and programmatically diverse."

Marks, 1992: 221

dependencies between states within the EU and MLG policies (Marks et al., 1996)

the powers and representation of regions within the EU (Hooghe & Marks, 1996)

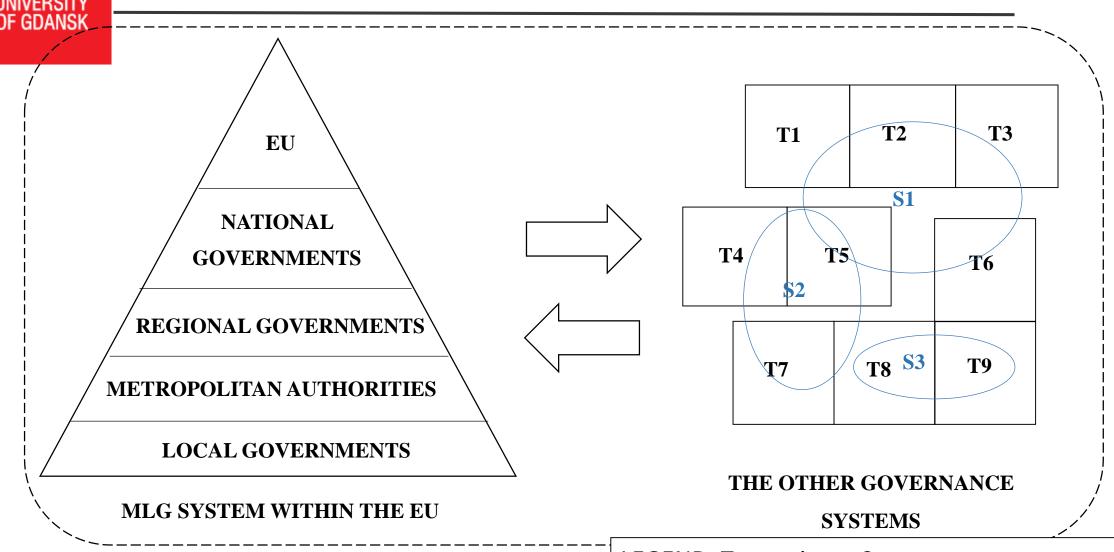
social movement activities towards EU institutions

(Marks & McAdam, 1999)

actor-centred perspective on decision-making

(Marks, 1996)

#### THE EVOLUSION OF THE MLG CONCEPT



Source: own work on the basis of Marks & Hooghe, 2001 and Faludi, 2012.

LEGEND: T – territory, S – governance system

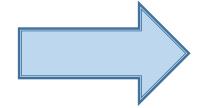


## THE NEED FOR "STRETCHING" AND PRECISING THE MEANING OF THE MLG THEORY

#### **CONTEPTUAL STRETCHING**

### MLG as an under-theorised concept:

- with several axes of ambiguity;
- with no clear orientation (a onesided or omnirelevant notion, including processes & situations, strategies & structures at the same time).



#### **CONCEPTUAL CLARIFICATION**

#### MLG as:

- a level of multi-level policies;
- a theory of the state transformation or as a theory of (EU) public policy;
- a tool for research into the functional aspects of 'doing policy';
- an element of metagovernance.

Source: own work on the basis of Faludi 2012, Stephenson 2013, Alcantara et al. 2016, Jessop 2016, Tortola 2016.



### THE WAY OF UNDERSTANDING OF MLG

	MULTI-LEVEL GOVERNANCE	INTERGOVERNMENTAL RELATIONS	
ACTORS	At least one governmental actor and one or more NGO actors	Involves governmental actors as the primary decision-makers	
SCALES	At least one actor is embedded at a different political/territorial scale from the other actor(s)	Actors can be from the same level or from multiple levels	
NATURE OF DECISION-MAKING AND/OR IMPLEMENTATION	More inclusive process: governmental and non- governmental actors are co- producers of collective goods	More exclusive process: the state (governmental actors only) produces collective goods	

Source:, Alcantara et al. 2016: 39.



### A NORMATIVE DIMENSION OF MLG

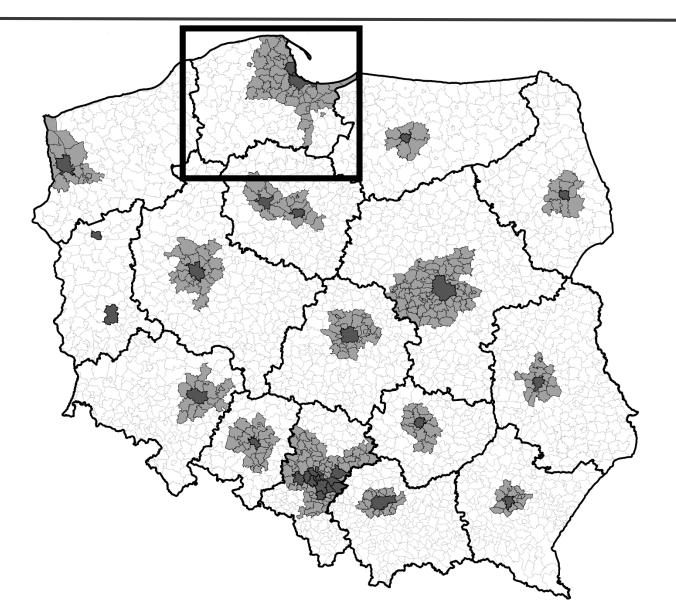
"We stand for a multilevel-governance Europe 'based on coordinated action by the European Union, the Member States and regional and local **authorities** (...)."

"The objective of this Charter (...) is to connect regions and cities across Europe, whilst **promoting** MULTI-ACTORSHIP with societal actors such as the social partners, universities, NGOs and representative civil society groupings. (...)"

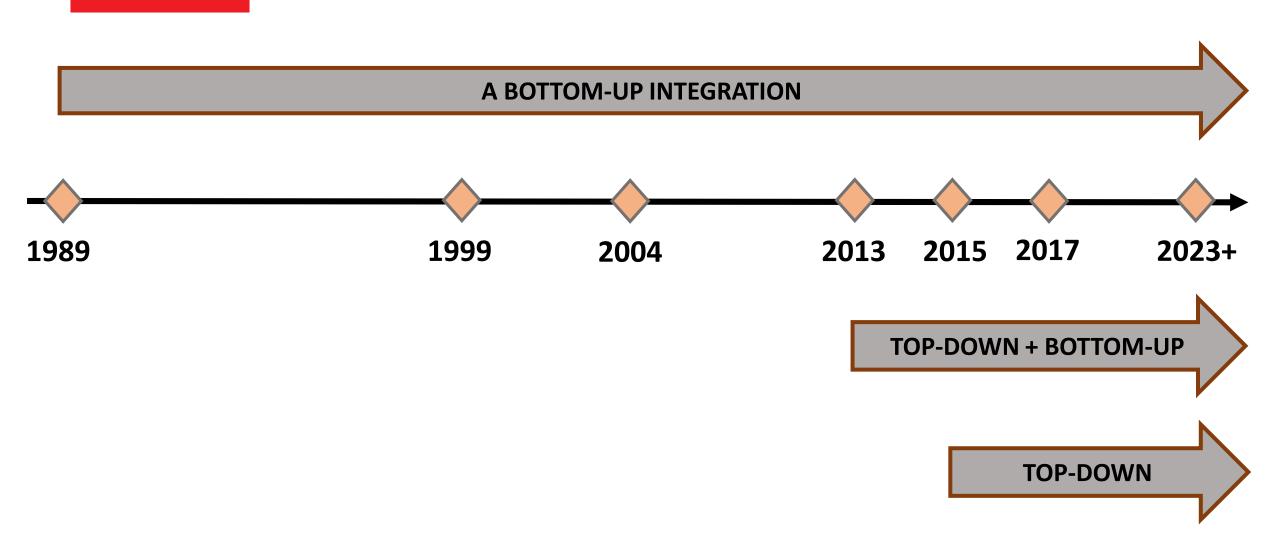
"MLG helps us to (...) SHARE BEST PRACTICES and further develop PARTICIPATORY DEMOCRACY, bringing the European Union closer to the citizens. (...)"

Source: Committee of the Regions, 2009, 2014.

# TERRITORIAL DIVISION / DELIMITATION OF REGIONS & METROPOLITAN AREAS IN POLAND



## THE PROCESS OF FORMATION OF THE METROPOLITAN GOVERNANCE IN POLAND – THE FOCAL POINTS



#### ITI GUIDELINES AND ITS OPERATIONALISATION

ITI EU ASSUMPTIONS – public institutions in the centre, but also cross-sectoral approach

NATIONAL LEVEL – technical approach, no need for cross-sectoral participation as a guideline

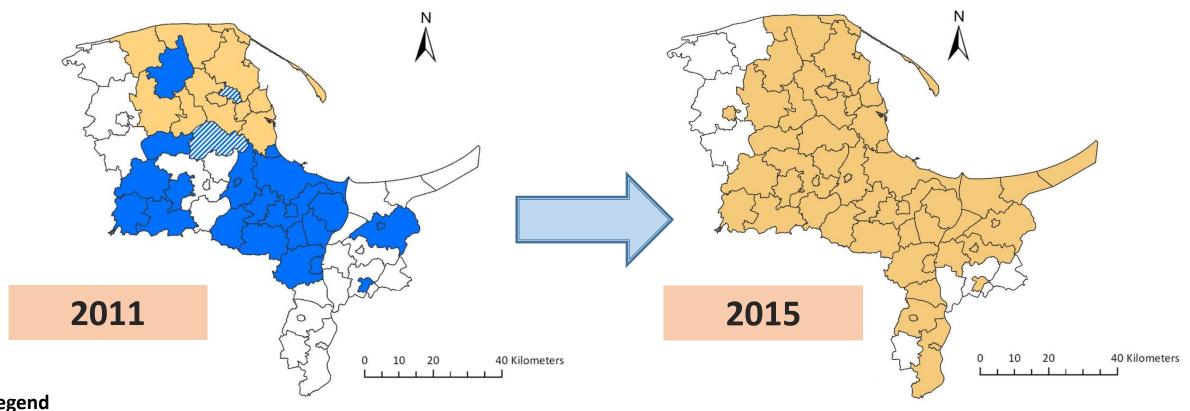
ITI STRATEGY – no need for cross sectoral-cooperation or civic participation within the process of governance

## MLG STRUCTURE WITHIN THE PROCESS OF IMPLEMENTATION OF THE ITI MECHANISM

**NATIONAL GOVERNMENT** guidelines, monitoring, ewaluation coordination, monitoring, signing agreements with stakeholders, final **REGIONAL GOVERNMENT** responsibility coordination, monitoring, co-**METROPOLITAN AUTHORITY** responsibility for the projects' results projects' implementation **LOCAL GOVERNMENTS** 

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### **OVERESTIMATING THE TERRITORIAL DIMENSION OF INTEGRATION?**



#### Legend

- Founders of the Gdańsk Metropolitan Area Association
- Founders of the NORDA Partnership Agreement
- Founders of GOM&NORDA
- □ The other LGs

#### Legend

- Members of the Gdańsk-Gdynia-Sopot Metropolitan Area Association
- ☐ The other LGs



## THE WAY OF UNDERSTANDING OF THE ITI VS. MLG FRAMEWORK

1. The Integrated Territorial Investment (Regional Operational Programmes) formation process & governance

2. The process of the ITI (ROP) projects' implementation

Do we really govern or just implement projects?

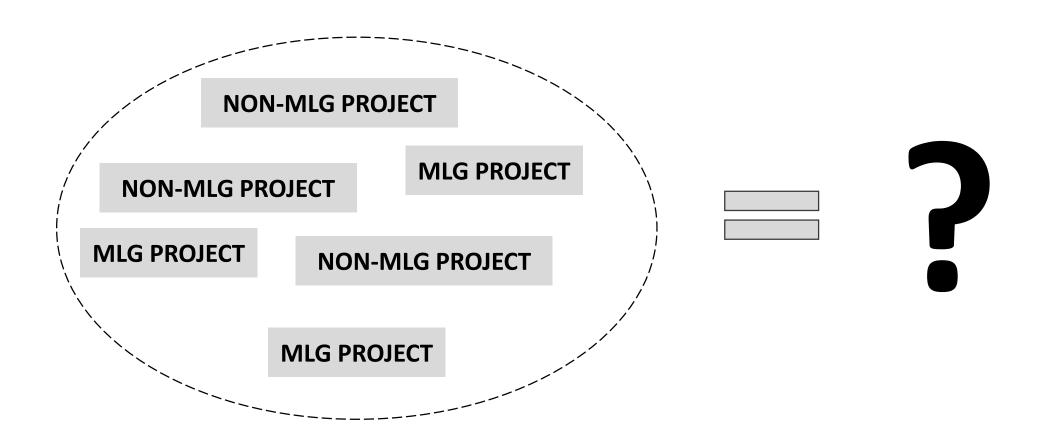
# ITI GOVERNANCE / IMPLEMENTATION VS. MLG "EXTENDED" GUIDELINES

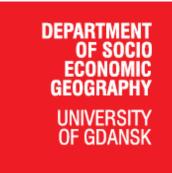
	MULTI-LEVEL GOVERNANCE	ITI FORMATION	ITI GOVERNANCE	ITI PROJECTS' GOVERNANCE
ACTORS	At least one governmental actor and one or more NGO actors			
SCALES	At least one actor is embedded at a different political/territorial scale from the other actor(s)			
NATURE OF DECISION- MAKING/ IMPLEMEN- TATION	More inclusive process: governmental and NGO actors as co-producers of collective goods			

# REGIONAL OPERATIONAL PROGRAMMES GOVERNANCE / IMPLEMENTATION VS. MLG "EXTENDED" GUIDELINES

	MULTI-LEVEL GOVERNANCE	ROP FORMATION	ROP GOVERNANCE	ROP PROJECTS' GOVERNANCE
ACTORS	At least one governmental actor and one or more NGO actors			
SCALES	At least one actor is embedded at a different political/territorial scale from the other actor(s)			
NATURE OF DECISION- MAKING/ IMPLEMEN- TATION	More inclusive process: governmental and NGO actors as co-producers of collective goods			

### THE CHALLENGES AHEAD





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### Thank you for your attention!

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